



Founded in 1999, UNX is an independent trading technology firm and agency broker that provides advanced electronic trading technology solutions for institutional clients and broker-dealers through its flagship platform, Catalyst® Portal.

UNX creates an essential marketplace for the capital markets where institutional clients, broker-dealers, and various independent vendors can collaborate in a mutually beneficial platform.

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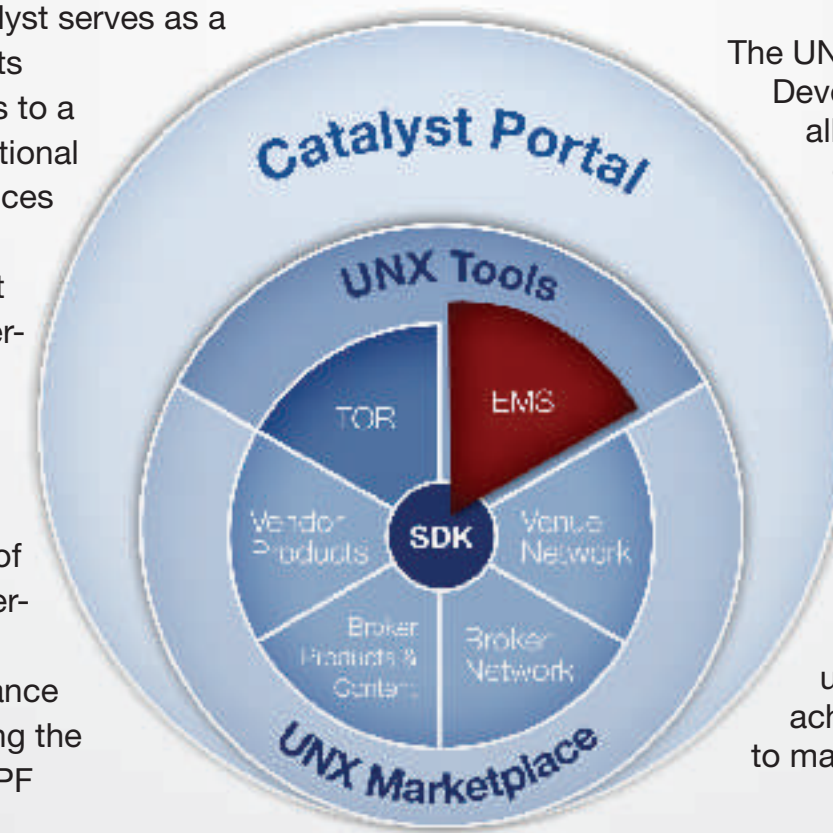
## UNX Catalyst Execution Management System (EMS)

Catalyst® offers an open, broker-neutral EMS and electronic trading platform for single stock and portfolio trading for institutional clients and broker-dealers. Delivered as an ASP solution, Catalyst streamlines trader workflow and allows traders and broker-dealers to extend their overall capabilities through the UNX Marketplace.

With seamless access to the UNX Marketplace, Catalyst serves as a portal that connects institutional traders to a diverse set of additional products and services from exchanges, ATs, independent vendors and broker-dealers.

It also provides traders with order routing access to the UNX Network of counterparty broker-dealers. Built for flexibility, performance and scalability using the latest .NET and WPF

technology from Microsoft, Catalyst delivers a fully extensible and customizable desktop trading environment. Its open-technology framework allows clients to customize or create functionality to meet their specific trading needs. For example, windows within the Catalyst EMS can be easily synchronized and docked. Columns and fields can be configured, sorted, filtered and grouped by the trader in real-time.



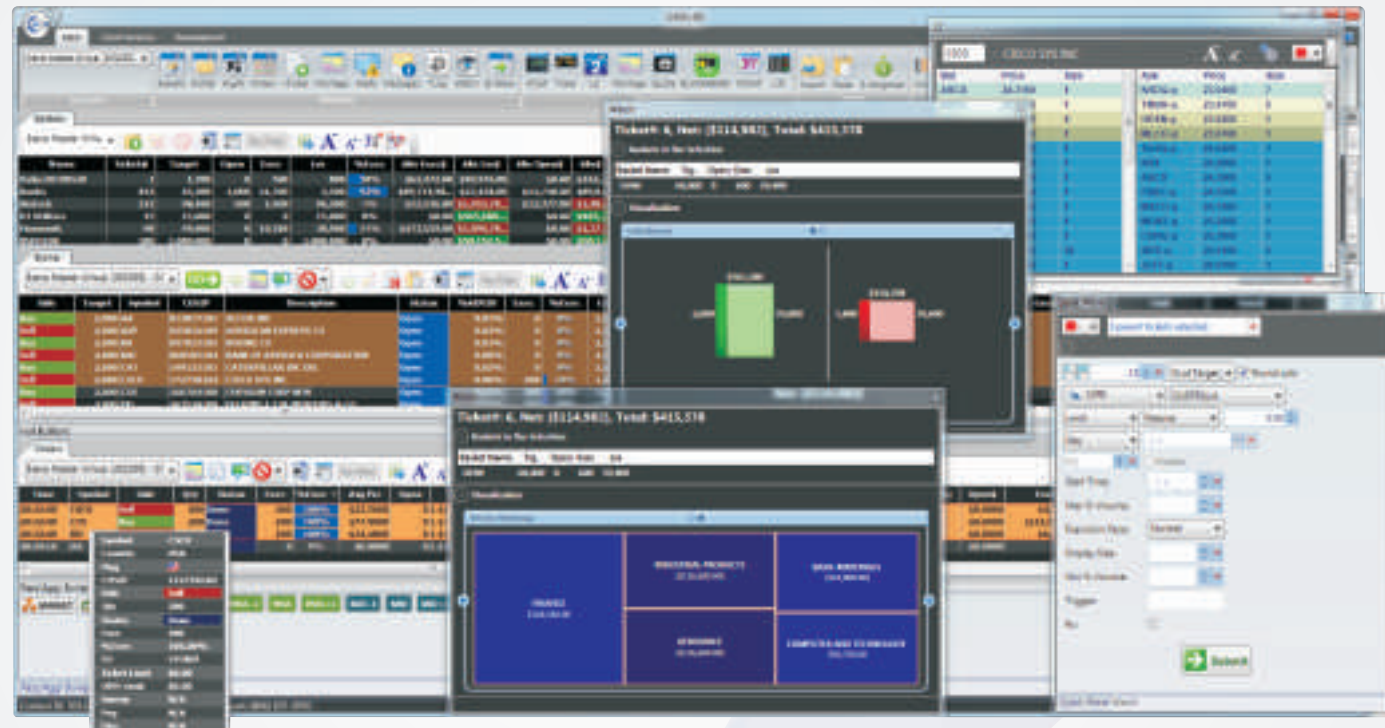
The UNX Software Development Kit (SDK) allows clients to further customize Catalyst and add functionality "on the fly." Broker-dealers in the UNX Network can easily build and integrate their own proprietary products and services independently from UNX, thus avoiding unnecessary costs and achieving faster time to market.

# Portfolio Trading

The portfolio trading capabilities within the Catalyst EMS address the needs of institutional and desk traders for performance and scalability. Catalyst is engineered to efficiently handle large portfolios and active wave trading without jeopardizing stability or reliability.

Despite the platform's sophistication, the process of portfolio trading is intuitive and self-explanatory. Institutional traders of all backgrounds can comfortably load and trade lists using Catalyst's basket, blotter and wave functions.

The trading experience is further enhanced by easy-to-read visualization tools and an extensive set of analytics and P&L calculations.



## Allocations & Reporting

The Catalyst EMS platform supports many allocation options, including pre-allocations, allocations in the GUI or via a web site, file-based allocations, and OASYS.

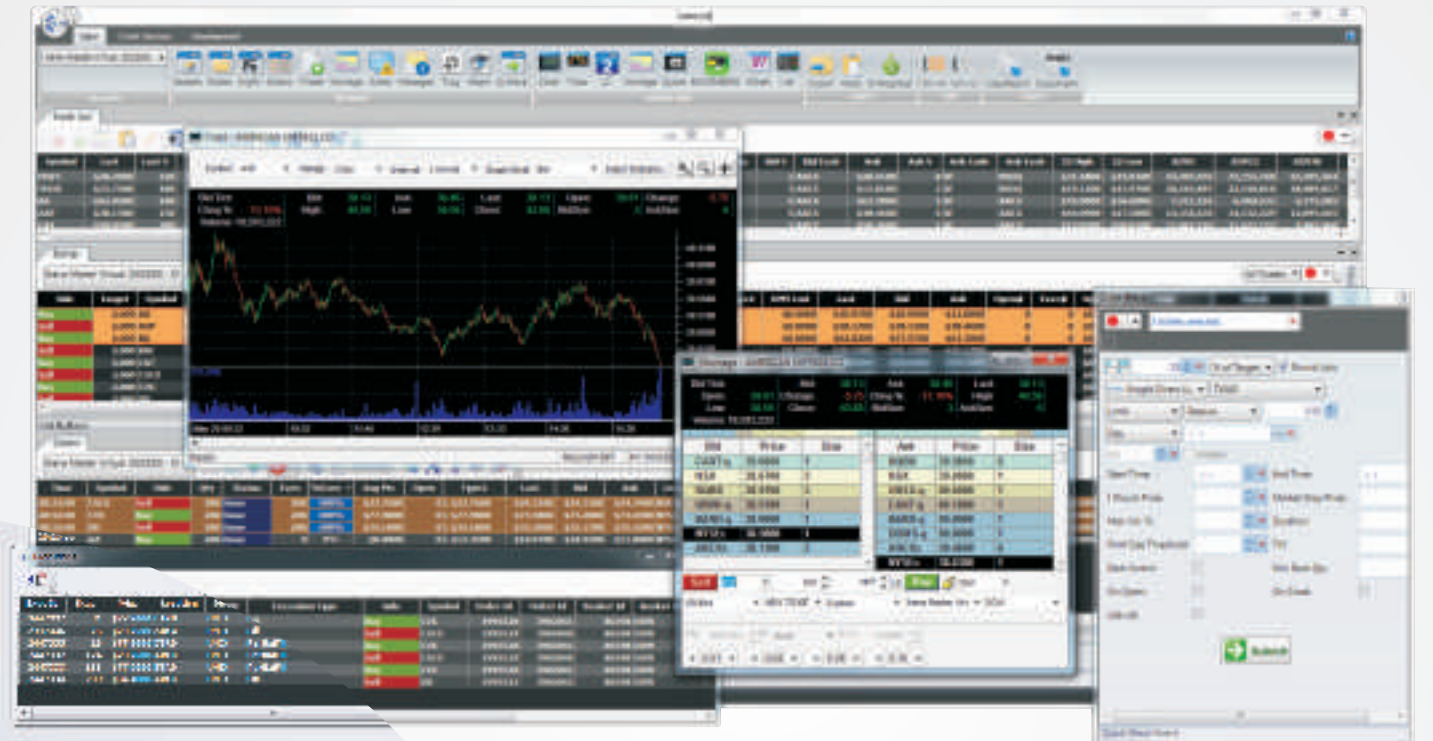
UNIX clients benefit from a wide selection of reporting options available through the platform. Reporting solutions include OATS, standard front-end grid reports, customized batch or real-time reports delivered via FTP, drop-copy, or other means. UNIX is capable of fully supporting reporting requirements for both institutional clients and broker-dealers.

## Market Data

The Catalyst EMS eliminates the requirement for lengthy and costly integrations with data feed providers by including real-time market data access with the platform. All trading blotters and trading windows include relevant market data and fundamentals from the major exchanges.

# Single Stock Trading

Catalyst provides an array of powerful single stock trading tools that offer both simplicity of use and the ability to interact with the market in a sophisticated and efficient manner. Single stock as well as portfolio trading tools can be arranged to create tailored workflows that support each trader's individual needs and methodologies.



## Integrations

The Catalyst EMS is ideally suited for tight integrations with both institutional client and broker-dealer systems. To highlight a few, UNIX supports integrations via FIX, web services, UNIX SDK and FTPs.

## Configurable Windows & Layouts

Windows within the Catalyst EMS are easy to configure and arrange across multiple monitors, seamlessly linking information. Windows can be docked inside the Catalyst interface or floated outside the platform as an independent window.

Each window layout is configurable and can be customized to streamline the individual trader's specific workflow. A rich set of available columns provides critical information at a glance, including P&L calculations, market data and fundamental information.

## Open Platform

Catalyst's independent, broker-neutral, open technology framework provides all market participants and independent vendors with unparalleled flexibility and ease of customization.

Broker-dealers can directly control the integration, customization and roll out of new services and strategies, using the UNIX SDK. Traders can integrate additional trading destinations, algorithmic strategies, analytics and other proprietary trading tools—all through a single interface. And independent vendors can leverage the overall Catalyst Portal as an efficient delivery mechanism to clients for news, research, analytics, charting packages and more.

