

The UNX Vision

Founded in 1999, UNX is an independent trading technology firm and agency broker that provides advanced electronic trading technology solutions for buy-side institutions and broker-dealers through its open architecture platform, Catalyst® Portal.

UNX continues to raise the standard with new technologies. From inception, UNX has employed extensive knowledge of market structure and advanced programming techniques to develop sophisticated front-end electronic trading tools that help institutional traders maximize their performance.

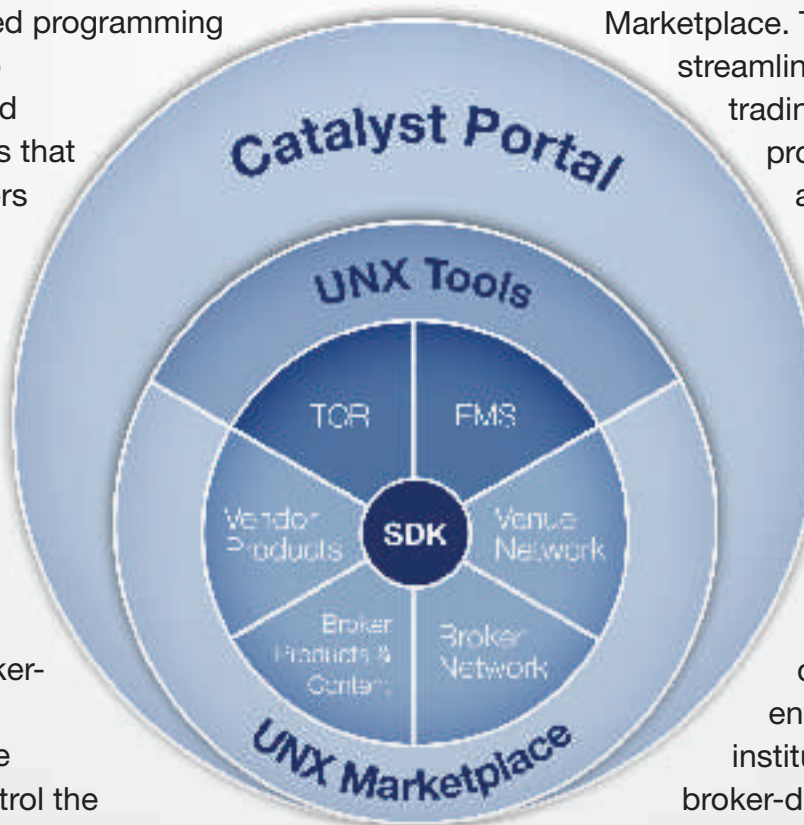
UNX's core value proposition is delivering an open-framework Execution Management System (EMS) in tandem with a distribution portal. This portal allows broker-dealers, independent vendors and exchange venues to directly control the integration and customization of services. Institutional clients, broker-dealers,

and independent vendors interact through a broker-neutral platform that provides for a collaborative environment.

The Catalyst Portal serves as a gateway to a broad set of modularized tools, including a multi-broker EMS, Tactical Order Routing (TOR), and the UNX Software Development Kit (SDK). It also provides access to a growing broker-dealer and liquidity venue network via the UNX

Marketplace. The UNX approach streamlines multi-broker trading workflow by providing traders with access to desired destinations, algorithmic strategies, analytics and other tailored tools through a single interface.

Through the Catalyst Portal, UNX delivers the open environment that allows institutional clients and broker-dealers to build, integrate and modify trading tools independently from UNX.





Founded in 1999, UNX is an independent trading technology firm and agency broker that provides advanced electronic trading technology solutions for institutional clients and broker-dealers through its flagship platform, Catalyst® Portal.

UNX creates an essential marketplace for the capital markets where institutional clients, broker-dealers, and various independent vendors can collaborate in a mutually beneficial platform.

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